# Dependency-Based Word Embeddings

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# Overview

## Key Motivations

- "Seek a representation that captures semantic and syntactic similarities between words."
- Distributional Hypothesis: Words in similar contexts have similar meanings.

## **Key Research Questions**

- How best to define context?
- Can syntactic contexts produce more focused embeddings?

# Overview

## Key Contributions

- Generalize Skip-Gram model from linear contexts to "arbitrary" contexts
- Experiment with syntactic contexts derived from dependency parse-trees
- Demonstrate introspection method for exploring learned contexts
- Conclude that dependency-based embeddings are less topical and more functional than the original linear-based embeddings

## Methods: Skip-Gram Model with Negative Sampling

**Objective:** To predict probability that (word, context) pair occurs in the data

**Training:** Increase similarity between positive pairs and decrease similarity between negative pairs



Image Credit: Jurafsky and Martin

## Linear Contexts

- Defined as the "words that precede and follow the target word, typically in a window of k tokens to each side"
- Bag-of-Words
- Larger k i more topical embeddings
- Smaller k  $\implies$  more functional embeddings

## Example (BoW k=2)

"Australian scientist **discovers** star with telescope"

[Australian, scientist, star, with]

## **Dependency-based Syntactic Contexts**

- Created from dependency-based parse-trees
- Not limited by distance from target word able to identify dependencies between words that are far from each other in text
- Filter out nearby words that are not directly related to target word

## Example

"Australian scientist **discovers** star with telescope" [scientist/nsubj, star/dobj, telescope/prep\_with]

If target word = **star** [discovers/dobj<sup>-1</sup>]



## **Experimental Setup**

- Dataset: English Wikipedia
- Model: Word2Vec (modified)
- Contexts: BoW (k=5), BoW (k=2), Dependency-based
- Negative sampling parameter: 15 negative contexts per positive context
- Embedding dimension size: 300
- Preprocessing: lowercase tokens, filtered infrequent words and tokens (< 100)

Dependency-based contexts:

- Parsed using Stanford tagger
- 175,000 words and 900,000 contexts

## **Qualitative Results**

- Relatedness: Topical Similarity
- Similarity: Functional Similarity
- BoW contexts produce more related pairs (particularly with larger contexts)
- Dependency-based contexts produce more similar pairs

Target Word	BoW (k=5)	Dependency
batman	nightwing aquaman catwoman superman	superman superboy supergirl catwoman
hogwarts	dumbledore hallows half-blood malfoy	sunnydale collinwood calarts greendale
turing	nondeterministic non-deterministic computability deterministic	pauling hotelling heting lessing

## Most similar words by cosine similarity

## **Quantitative Results**

Task: Rank 'similar' pairs above 'related' pairs

- WordSim353 Dataset (a)
- Chiarello et al. Dataset (b)
- Pairs ranked using cosine similarity of embeddings
- Results are reversed when ranking 'related' pairs above 'similar' pairs



# Model Introspection

- Model tries to maximize  $v_c \cdot v_w$  for positive (word, context) pairs and minimize it for negative pairs
- Keep context embeddings to compute  $v_c \cdot v_w$  for a specific target word with the context matrix
- Highest values indicate "most activated" contexts, which are the most discriminative contexts for target word

Target Word	Top Deps Contexts
<b>batman</b> (superheroes)	superman/conj <sup>-1</sup> spider-man/conj <sup>-1</sup> superman/conj spider-man/conj
hogwarts (schools)	students/prep_at <sup>-1</sup> educated/prep_at <sup>-1</sup> student/prep_at <sup>-1</sup> stay/prep_at <sup>-1</sup>
<b>turing</b> (scientists)	machine/nn <sup>-1</sup> test/nn <sup>-1</sup> theorem/poss <sup>-1</sup> machines/nn <sup>-1</sup>

Top syntactic contexts for target word (with category of top similar words from slide 8)

## Ideas for Future Research

- Skip-Gram with different variations of contexts
- Determine why different grammatical relations appeared to be more important than others (compare introspection results with different categories of target words)
- Filter context for certain grammatical relation types and compare results
- Experiment with weighting scheme for contexts with different grammatical relation types
- Perform model introspection with a more structured approach (as to which words are tested)

# Opinion

Overall:

- A detailed and well explained paper
- Logical extension of the Skip-Gram model

Critiques:

- Does not specify exact dataset used
- Use 'dot product' and 'cosine similarity' terms interchangeably
- Minimal testing of model introspection method
- Provided little intuition regarding choice of dependency-tree parser
- Lacked examples of tasks where functional similarity is preferred

## Retrofitting Word Vectors to Semantic Lexicons Advanced Topics in Computational Semantics

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April 2, 2020

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### Introduction

- We will talk about the paper Retrofitting Word Vectors to Semantic Lexicons (Faruqui et al, 2015)
- In this paper, the authors provide a fast, embedding-agnostic and high performing way of incorporating semantic lexicon information into word embeddings
- Code for this paper is available at https://github.com/mfaruqui/retrofitting

## Outline

#### Motivation and Contributions

- Method
- Experiments
- Conclusion

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## Motivation (1)

- Distributional word embeddings are among the most popular approaches in computational semantics
  - Data-driven, statistical-based vectors
  - "Similar words appear in similar contexts"
  - ▶ Word2Vec, GloVe...
- Another way to represent meaning is through semantic lexicons:
  - Network of semantic relations
  - Hyponymy, antonymy, synonymy...
  - WordNet, FrameNet...

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## Motivation (2)



#### How can we combine these two approaches?

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## Key Contributions

Method to incorporate semantic lexicon information into word embeddings

- Encourage linked words to have similar vector representation
- This method is independent of how the input vectors were constructed
- Fast post-processing of pre-trained word embeddings
- On a set of standard evaluation tasks:
  - Improves the input word embeddings
  - Outperforms prior incorporation approaches

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## Retrofitting: Main Idea

- We want to refine pre-trained word embeddings to incorporate semantic relations
- Assumptions:
  - They should be similar to the original word embeddings
  - Linked words should have a similar representation
- Post-processing word vectors: retrofitting

### Problem statement

Note:  $V = \{w_1, ..., w_n\}$  is the vocabulary.

Input:

- A matrix  $\hat{Q} = (\hat{q}_1, ..., \hat{q}_n)$  of word embeddings
- A graph  $\Omega = (V, E)$  representing semantic relations between words
- Output:
  - A new matrix  $Q = (q_1, ..., q_n)$  of word embeddings
  - Q is obtained by updating Q̂ with the information contained in Ω.

Notice we assumed nothing about how  $\hat{Q}$  was constructed.

## Understanding retrofitting (1)

- Recall: we want Q to be close to Q (1) and to respect semantic relations (2):
  - (1)  $q_i$  should be similar to  $\hat{q}_i$
  - (2)  $q_i$  should be similar to the embeddings of adjacent words  $q_j$
- Similarity is captured by euclidean distance



Figure 1: Word graph with edges between related words showing the observed (grey) and the inferred (white) word vector representations.

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#### Oliviero Nardi Retrofitting Word Vectors to Seman<u>tic Lexicons</u>

## Understanding retrofitting (2)

This yields the optimization objective

$$\Psi(Q) = \sum_{i=1}^{n} [\alpha_i ||q_i - \hat{q}_i||^2 + \sum_{(i,j) \in E} \beta_{ij} ||q_i - q_j||^2] \quad (1)$$

where  $\alpha$  and  $\beta$  tune the relative strength of associations.

- By optimizing  $\Psi(Q)$  we update our word embeddings
- Ψ is convex and the solution can be found by solving a system of linear equations:

$$q_i = \frac{\sum_{j:(i,j)\in E} \beta_{ij}q_j + \alpha_i \hat{q}_i}{\sum_{j:(i,j)\in E} \beta_{ij} + \alpha_i}$$
(2)

This can be done quickly in an iterative way (5 seconds for a graph of 100000 words and vector size 300)

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### Retrofitting vs Older approaches

- In prior approaches, the learning objective of the word embeddings is altered
- A prior distribution is added to encourage linked words to have similar vectors:

$$p(Q) \propto \exp(-\gamma \sum_{i=1}^{n} \sum_{j:(i,j)\in E} \beta_{ij} ||q_i - q_j||^2)$$
(3)

- Can be seen as a regularization of the embeddings (MAP estimation)
- However, this method is not independent of the input vectors and has no closed form solution

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### Experimental setup: Input data

#### Word Embeddings:

- GloVe (GLOVE)
- SkipGram (SG)
- Global Context Vectors (GC)
- Multilingual Vectors (MULTI)

#### Semantic Lexicons:

- Paraphrase DataBase (PPDB)
- WordNet  $(WN_{syn} \text{ and } WN_{all})$
- FrameNet (FN)

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### Experimental setup: Tasks

- ▶ Word Similarity: Cosine similarity against human-annotated corpora: MEN-3K, RG-65 and WS-353.
- Syntactic Relations (SYN-REL): Find d such that "a is to b what c is to d". For example: "king is to queen what actor is to?"
- Synonym Selection (TOEFL): Given a target word t and four possible synonyms {s<sub>1</sub>, s<sub>2</sub>, s<sub>3</sub>, s<sub>4</sub>}, find the closest s<sub>i</sub> to t.
- Sentiment Analysis (SA): Train a binary classifier on movie reviews using Q.

## Experimental setup: Experiments

- Each of the word embeddings was retrofitted using each of the semantic lexicons.
- The authors tested:
  - The improvement gained by doing retrofitting
  - Performance against prior methods
  - Generalization over new languages

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### Results: Improvements of Retrofitting

Lexicon	MEN-3k	RG-65	WS-353	TOEFL	SYN-REL	SA
Glove	73.7	76.7	60.5	89.7	67.0	79.6
+PPDB	1.4	2.9	-1.2	5.1	-0.4	1.6
+WN <sub>syn</sub>	0.0	2.7	0.5	5.1	-12.4	0.7
+WN <sub>all</sub>	2.2	7.5	0.7	2.6	-8.4	0.5
+FN	-3.6	-1.0	-5.3	2.6	-7.0	0.0
SG	67.8	72.8	65.6	85.3	73.9	81.2
+PPDB	5.4	3.5	4.4	10.7	-2.3	0.9
+WN <sub>syn</sub>	0.7	3.9	0.0	9.3	-13.6	0.7
+WN <sub>all</sub>	2.5	5.0	1.9	9.3	-10.7	-0.3
+FN	-3.2	2.6	-4.9	1.3	-7.3	0.5
GC	31.3	62.8	62.3	60.8	10.9	67.8
+PPDB	7.0	6.1	2.0	13.1	5.3	1.1
+WN <sub>syn</sub>	3.6	6.4	0.6	7.3	-1.7	0.0
+WN <sub>all</sub>	6.7	10.2	2.3	4.4	-0.6	0.2
+FN	1.8	4.0	0.0	4.4	-0.6	0.2
Multi	75.8	75.5	68.1	84.0	45.5	81.0
+PPDB	3.8	4.0	6.0	12.0	4.3	0.6
+WN <sub>syn</sub>	1.2	0.2	2.2	6.6	-12.3	1.4
+WN <sub>all</sub>	2.9	8.5	4.3	6.6	-10.6	1.4
+FN	1.8	4.0	0.0	4.4	-0.6	0.2

Table 2: Absolute performance changes with retrofitting. Spearman's correlation (3 left columns) and accuracy (3 right columns) on different tasks. Higher scores are always better. Bold indicates greatest improvement for a vector type.

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## Results: Performance against prior methods (1)

Method	$k, \gamma$	MEN-3k	RG-65	WS-353	TOEFL	SYN-REL	SA
LBL (Baseline)	$k = \infty, \gamma = 0$	58.0	42.7	53.6	66.7	31.5	72.5
	$\gamma = 1$	-0.4	4.2	0.6	-0.1	0.6	1.2
LBL + Lazy	$\gamma = 0.1$	0.7	8.1	0.4	-1.4	0.7	0.8
	$\gamma = 0.01$	0.7	9.5	1.7	2.6	1.9	0.4
	k = 100 M	3.8	18.4	3.6	12.0	4.8	1.3
LBL + Periodic	k = 50 M	3.4	19.5	4.4	18.6	0.6	1.9
	k = 25M	0.5	18.1	2.7	21.3	-3.7	0.8
LBL + Retrofitting	-	5.7	15.6	5.5	18.6	14.7	0.9

Table 3: Absolute performance changes for including PPDB information while training LBL vectors. Spearman's correlation (3 left columns) and accuracy (3 right columns) on different tasks. Bold indicates greatest improvement.

### Results: Generalization over new languages

Language	Task	SG	Retrofitted SG
German	RG-65	53.4	60.3
French	RG-65	46.7	60.6
Spanish	MC-30	54.0	59.1

Table 5: Spearman's correlation for word similarity evaluation using the using original and retrofitted SG vectors.

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## **Findings Summary**

- Retrofitting often improves the performance of embeddings on a variety of tasks
- It is competitive against prior methods, while also being indepedent of the embeddings and fast.
- Finally, it works well also in other languages

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## My Opinion

- Besides the pratical advantages, retrofitting is simple to understand and elegant
- Its modular approach conceptually decouples the problem of semantic lexicon integration from the training of the embeddings
- The mathematics are easy and the equations it yields are well-behaved
- However, as seen in SYN-REL, performance in syntactic tasks may be significantly worse

## Future Work

#### Antonymy

- How well does retrofitting capture antonymy?
- How can we explicitly model antonymy?

#### Richer ways to incorporate graph information

- Node similarity
- Edge types

#### Address the loss of performance in the SYN-REL task

- Test whether this is true for other "syntactic" tasks
- Find ways to prevent this downgrade
- Does this improve performance overall?

## Questions?

Thank you for your attention!

Oliviero Nardi Retrofitting Word Vectors to Semantic Lexicons

# Specialising Word Embeddings for Similarity or Relatedness

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April 2, 2020

Outline

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## Outline

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### Motivation

- distributional word embeddings are general purpose
- "genuine" similarity and associative similarity (relatedness)
  - similarity: car-bike, chair-seat
  - relatedness: car-petrol, chair-table
- capture both quite well but perfect at neither mutually incompatible
- explore specialising embeddings in similarity and relatedness

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## Approach

- assumption: embeddings can be **nudged** by including additional semantic information
  - raw text from English Wikipedia and newswire
  - synonyms from MyThes Thesaurus (similarity)
  - associated words from USF Assocation Norms (relatedness)
- three specialisation methods
  - joint learning
  - Graph-Based retrofitting
  - Skip-Gram retrofitting

### Specialising Method: Joint Learning

- introduce additional semantic information to standard skip-gram objective
- sampling condition: include an additional context sampled uniformly

$$\frac{1}{T}\sum_{t=1}^{T} \left( J(w_t) + [w^a \sim \mathcal{U}_{A_{w_t}}] \log p(w^a | w_t) \right)$$

• all condition: include all additional contexts

$$\frac{1}{T}\sum_{t=1}^{T} \left( J(w_t) + \sum_{w^a \in A_{w_t}} \log p(w^a | w_t) \right)$$

Specialising Method: Graph-Based Retrofitting

- Faruqui et al. 2015
- first stage, train standard skip-gram

$$\frac{1}{T} \sum_{t=1}^{T} \sum_{-c \leq j \leq c} \log p(w_{t+j}|w_t)$$

• second stage, update using semantic relation graph

$$\sum_{t=1}^{T} \left( \alpha_t ||q_t - \hat{q}_t||^2 + \sum_{(t,j) \in E} \beta_{tj} ||q_t - q_j||^2 \right)$$

Specialising Method: Skip-Gram Retrofitting

• first stage, train standard skip-gram

$$\frac{1}{T} \sum_{t=1}^{T} \sum_{-c \leq j \leq c} \log p(w_{t+j}|w_t)$$

• second stage, update with additional context

$$\frac{1}{T}\sum_{t=1}^{T}\sum_{w^a \in A_{w_t}} \log p(w^a | w_t)$$

### Overview of Methods

- joint learning with sampled context
- joint learning with all contexts
- Graph-Based retrofitting
- Skip-Gram retrofitting

### Evaluation

#### intrinsic evaluations

- SimLex-999 for similarity
- MEN for relatedness
- extrinsic evaluations
  - TOEFL synonym selection task
  - document topic classification task

## Outline

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## Results: Intrinsic Evaluation

Method	SimLex-999	MEN
Skip-gram	0.31	0.68
Joint-Thesaurus-Sampled	0.38	0.69
Joint-Thesaurus-All	0.44	0.60
Joint-Norms-Sampled	0.43	0.72
Joint-Norms-All	0.42	0.67
GB-Retrofit-Thesaurus	0.38	0.68
GB-Retrofit-Norms	0.32	0.71
SG-Retrofit-Thesaurus	0.47	0.69
SG-Retrofit-Norms	0.35	0.71

Table 1: Spearman  $\rho$  on a genuine similarity (SimLex-999) and relatedness (MEN) dataset (one training iteration).

## Results: Extrinsic Evaluation

Method	TOEFL	Doc
Skip-gram	77.50	83.96
Joint-Thesaurus-Sampled	81.25	83.90
Joint-Thesaurus-All	80.00	83.56
Joint-Norms-Sampled	78.75	84.46
Joint-Norms-All	66.25	84.82
GB-Retrofit-Thesaurus	83.75	80.24
GB-Retrofit-Norms	80.00	80.58
SG-Retrofit-Thesaurus	88.75	84.55
SG-Retrofit-Norms	80.00	84.56

Table 2: TOEFL synonym selection and document classification accuracy (percentage of correctly answered questions/correctly classified documents).

## Results: Observations

- similarity-specialised better on SimLex-999 and TOEFL task
- relatedness-specialised better on MEN and classification task
- SG-retrofit matches or outperforms GB-retrofit
- generally outperform standard general-purpose embeddings
- observation of curriculum learning for similarity

## Results: Curriculum Learning

Method	SimLex-999
Skip-gram	0.31
Fit-Thesaurus	0.26
Joint-Thesaurus-Sampled	0.38
Joint-Thesaurus-All	0.44
GB-Retrofit-Thesaurus	0.38
SG-Retrofit-Thesaurus	0.47

Table 3: Spearman  $\rho$  on a genuine similarity (SimLex-999) dataset (one training iteration).

## Outline

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## Summary

- introduce methods of specialising embeddings
- compare different approaches on intrinsic and extrinsic tasks
- highlight 'shortcoming' of general-purpose embeddings
- demonstrate the advantages of specialised embeddings

## My Opinion

- clear empirical evidence
- many papers specialising for different context types
- different best method for similarity and relatedness
- similarity is more difficult to learn (Hill et al., 2015)

- fall in performance when using GB-retrofit
- different (richer) semantic information for GB/SG-retrofit
- performance of 'universal' representation of specialised embeddings

## Questions?

Thanks for your attention!

## Number of Iterations for Retrofit



Figure 1: Varying the number of iterations when retrofitting